

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

HEITELE, Bernd

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Serial No.: 10/520,737

Examiner: Not Yet Assigned

Filed: January 10, 2005

Docket No.: 740207-1020

For: Filter Appliance and Inner Container for a Filter Appliance

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or
 (within Three months of filing national application; or date of entry of international application; or before
 mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
 (After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever
 occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
 (Filed after final office action or notice of allowance, whichever occurs first, but before payment of the
 issue fee)

Enclosed is a check in the amount of \$.

Enclosed is Credit Card Payment Form (PTO-2038) in the amount of \$.

Please charge \$ to deposit account . At any time during the pendency of this application, please charge any
 fees required to Deposit Account pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any
 overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449A - Information Disclosure Statement by Applicant* together with copies
 (where required) of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s)
 may or may not be material to the examination of this application and for which there may be a duty to disclose in
 accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and
 other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37
 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is
 cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language
 version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form
 PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER
& RISLEY, L.L.P.**

By:



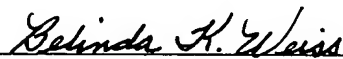
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100 Galleria Parkway, Suite 1750
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770-933-9500

CERTIFIED MAILING

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Signature

25 APR 2005

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete n Known

Application Number	10/520,737
Filing Date	January 10, 2005
First Named Inventor	HEITELE, Bernd
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	740207-1020

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
	1	EP 1 106 578 A1	06/13/2001	BRITA GmbH		
	2	EP 1 160 204 A2	12/05/2001	Harvey Softener		
	3	DE 30 03 676 A1	08/06/1981	Oventrop Sohn K		
	4	DE 44 22 709 A1	01/04/1996	Sheco AG		

**Examiner
Signature**

Date	
Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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First Named Inventor	HEITELE, Bernd
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	740207-1020

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	International Search Report for PCT/EP2003/007404.	
	2	English Language translation of International Preliminary Examination Report for PCT/EP2003/007404.	
	3	English Language translation of International Preliminary Examination Report for PCT/EP2003/007402.	

Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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